

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: David H. Tracy

Serial No.: 10/566,306

Filed: January 27, 2006

Entitled: **Method And Apparatus For Quantitating Surface-Binding Optical Resonance Profiles**



Examiner: (not yet assigned)

Art Unit: 2863

Attorney Docket No.: BCR-016.0P US

Mail Stop AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] an Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

PAYMENT OF FEES

[] A check in the amount of \$____ (check no. ____) in payment of the fee for submission of an information disclosure statement transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

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U.S. Serial No. 10/566,306
Atty. Docket No. BCR-016.0P US

CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

11/06/2006
Date

Meghan McFadden
Meghan F. McFadden



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P.O. Box 1450
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office along with the fee pursuant to 37 C.F.R. § 1.17 (p).

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents. This Statement is being submitted before the first Office Action on the merits pursuant to 37 C.F.R. § 1.97(b)(3). Accordingly, no fee is required with this submission.

United States Patents and Applications

US 5,303,165, issued April 12, 1994, Ganz et al.

US 5,308,982, issued May 3, 1994, Ivaldi et al.

US 5,925,878, issued July 20, 1999, Challener, W.

Foreign Published Applications

WO 99/30135, published June 17, 1999, Tracy et al.

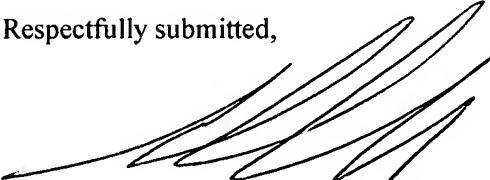
Other Documents

Chandezon et al., *Journal of the Optical Society of America*, 72: 839-846 (1982)
Cush et al., *Biosensors & Bioelectronics*, 8(7/8): 347-353 (1993)
Lawrence et al., *Biosensors & Bioelectronics*, 11: 389-400 (1996)
Salamon et al., *Biophysical Journal*, 73: 2791-2797 (1997)
Tiefenthaler et al., *Biosensors Bioelectron.*, 8: xxxv-xxxvii (1993)
Tobiska et al., *Improving Resolution of SPR Sensors by Employing Long-Range Surface Plasmons*
Zizlsperger et al., *Progr. Colloid Polym. Sci.*, 109: 244-253 (1998)

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application, and also printed on any patent issuing from the above-identified application.

Respectfully submitted,



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Certificate of Mailing

The undersigned hereby certifies that this correspondence and accompanying documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

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Meghan McFadden
Meghan F. McFadden

Modified FORM PTO-1449		ATTY. DOCKET NO. BCR-016.0P US	SERIAL NO. 10/566,306
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT David H. Tracy	
NOV 04 2006 PATENT AND TRADEMARK OFFICE (Use several sheets if necessary)		FILING DATE January 27, 2006	GROUP 2863

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA	US 5,303,165	04/12/1994	Ganz et al.				
AB	US 5,308,982	05/03/1994	Ivaldi et al.				
AC	US 5,925,878	07/20/1999	Challener, W.				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES	NO
	AD	WO 99/30135	06/17/1999	Tracy et al.				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AE	Chandezon et al., <i>Journal of the Optical Society of America</i> , 72: 839-846 (1982)
AF	Cush et al., <i>Biosensors & Bioelectronics</i> , 8(7/8): 347-353 (1993)
AG	Lawrence et al., <i>Biosensors & Bioelectronics</i> , 11: 389-400 (1996)
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AI	Tiefenthaler et al., <i>Biosensors Bioelectron.</i> , 8: xxxv-xxxvii (1993)
AJ	Tobiska et al., <i>Improving Resolution of SPR Sensors by Employing Long-Range Surface Plasmons</i>
AK	Zizlsperger et al., <i>Progr. Colloid Polym. Sci.</i> , 109: 244-253 (1998)
CCV EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

sheet 1 of 1